410 Recd PCT/PTO 1 0 SEP 2001 09/936280

Practitioner's Docket No. 2373/103

CHAPTER II

Preliminary Classification:
Proposed Class:
Subclass:

TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)

(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/AU00/00171	10 March 2000 (10.03.00)	10 March 1999 (10.03.99)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: Tree Harvesting Apparatus

APPLICANT(S): Giles, Richard Courthope; Pederick, Harley Lawson

Box PCT Commissioner for Patents Washington D.C. 20231 ATTENTION: EO/US

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date 10 September 2001, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL 856408486 US, addressed to the: Commissioner for Patents, Washington, D.C. 20231, Box PCT, Attn: EO/US

Yang Xu
(type,or print name of person mailing paper)

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. Section 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Transmittal Letter to the United States Elected Office (EO/US)--page 1 of 5)

- 1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. Section 371:
 - a. This express request to immediately begin national examination procedures (35 U.S.C. Section 371(f)).
 - b. The U.S. National Fee (35 U.S.C. Section 371(c)(1)) and other fees (37 C.F.R. Section 1.492) as indicated below:

JC03 Rec'd PCT/PTO 1 0 SEP 2001

2. Fees

CLAIMS FEE*	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALC- ULATIONS
	TOTAL CLAIMS	15 -20 =	0	x \$18.00 =	\$0.00
	INDEPEN- DENT CLAIMS	1 - 3 =	0	x \$80.00 =	\$0.00
	MULTIPLE DEPI	\$0.00			
BASIC FEE	U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where no international preliminary examination fee as set forth in Section 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in Section 1.445(a)(2) to the U.S. PTO: has not been paid (37 C.F.R. Section 1.492(a)(3))			\$1,000.00	
	Total of above Calculations			= \$1,000.00	
SMALL ENTITY	Reduction by 1/2 for fining by small chitty, if applicable. Attribute most ob-			- \$500.00	
	Subtotal				\$500.00
		Total National Fee			
	Fee for recording the enclosed assignment document \$40.00 (37 C.F.R. Section 1.21(h)). See attached "ASSIGNMENT COVER SHEET".			C.F.R. Section	\$0.00
TOTAL	Total Fees enclosed \$500.00				

^{*}See attached Preliminary Amendment Reducing the Number of Claims.

Please charge Account No. 19-4972 in the amount of \$500.00.

A duplicate copy of this sheet is enclosed.

3. A copy of the International application as filed (35 U.S.C. Section 371(c)(2)) has been transmitted by the International Bureau.

Date of mailing of the application (from form PCT/IB/308): 14 September 2000

- 4. A translation of the International application into the English language (35 U.S.C. Section 371(c)(2)) is not required as the application was filed in English.
- 5. Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. Section 371(c)(3)) have not been transmitted. Applicant chose not to make amendments under PCT Article 19.

Date of mailing of Search Report (from form PCT/ISA/210): 17 April 2000.

- 6. A translation of the amendments to the claims under PCT Article 19 (38 U.S.C. Section 371(c)(3)) has not been transmitted for reasons indicated in section 5.
- 7. An oath or declaration of the inventor (35 U.S.C. Section 371(c)(4)) complying with 35 U.S.C. Section 115 will follow.
- II. Other document(s) or information included:
- 8. An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a) is transmitted herewith.
- 9. An Information Disclosure Statement under 37 C.F.R. Sections 1.97 and 1.98 will be transmitted within THREE MONTHS of the date of submission of requirements under 35 U.S.C. Section 371(c).
- 10. Additional documents:
 - a. International Publication No. WO00/52998

Specification, claims and drawing

- b. Preliminary amendment (37 C.F.R. Section 1.121)
- 11. The above items are being transmitted before 30 months from any claimed priority date.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No.: 19-4972

37 C.F.R. Section 1.492(a)(1), (2), (3), and (4) (filing fees)

37 C.F.R. Section 1.492(b), (c), and (d) (presentation of extra claims)

37 C.F.R. Section 1.17 (application processing fees)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

09/936280

JC03 Rec'd PCT/FTO 1 0 SEP 2001

Date: 10 September 2001

Yang Xu

Registration No. 45,243

Bromberg & Sunstein LLP

125 Summer Street

Boston, MA 02110-1618

US

617-443-9292

Customer No. 002101

09/936280

JC03 Rec'd PST/PTO 1 0 SEP 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Giles, et al.

Atty Docket: 2373/103

Serial No.:

not yet assigned

Art Unit:

Date Filed:

September 10, 2001

Examiner:

For:

TREE HARVESTING APPARATUS

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee", mailing Label Number EL 915237780 US, addressed to: Commissioner for Patents, Washington, D.C. 20231 on September 10, 2001.

Commissioner for Patents Washington, DC 20231

PRELIMINARY AMENDMENT

Dear Sir:

Please amend the International Application No. PCT/AU00/00171 upon entry into the U.S. National Phase under Chapter II as follows:

IN THE CLAIMS:

Please amend claims 5, 10, and 12-14 as follows:

- 5. (Amended) A tree harvesting apparatus according to claim 1 wherein said transport means includes first and second opposed conveyor means each provided with laterally extending fingers so that a cut tree is gripped by the fingers of the opposed conveyor means.
- 10. (Amended) A tree harvesting apparatus according to claim 1 wherein said transport means includes a first pair of spaced apart horizontally disposed camber rotating auger rollers located at an end of the transport means nearest said chipper between which said cut tree is gripped and advanced toward said chipper.

DUISGREE OF SUF

12. (Amended) A tree harvesting apparatus according to claim 10 wherein the transport means includes a second pair of spaced apart rollers inclined upwardly from a

lower end distant said the chipping means for gripping a trunk of the cut tree and

advancing the cut tree to said chipping means.

13. (Amended) A tree harvesting apparatus according to claim 1 further including

a pair of wheels located in advance of said transport means between which a crown of a

tree passes prior to the tree being cut by the rotary saw, said wheels biased and pivotally

mounted to work around and at least partially compress the crown of the tree.

14. (Amended) A tree harvesting apparatus according to claim 1 further including

a pivot arm pivotally coupled about an axis of rotation of said chipping drum, said anvil

being carried by said pivot arm whereby, pivoting of said pivot arm about said axis varies

said angle of incidence.

Attached hereto is a marked-up version of the changes made to the claims by he

current amendment. The attached page is captioned "VERSION WITH MARKINGS

TO SHOW CHANGES MADE".

Examination of claims 1-15 is respectfully solicited. If any fees in addition to

those submitted with the Application are required, Applicants request that any such fee

required be charged to Deposit Account No. 19-4972.

Dated: September 10, 2001

Respectfully submitted,

Yang Xu

Registration No. 45,243

Attorney for Applicant

125 Summer Street Boston, MA 02110-1618

Bromberg & Sunstein LLP

(617) 443-9292

02373/00001 171631.1

Version with Markings to Show Changes Made

- 5. (Amended) A tree harvesting apparatus according to <u>claim 1</u> any one of claims 1-4-wherein said transport means includes first and second opposed conveyor means each provided with laterally extending fingers so that a cut tree is gripped by the fingers of the opposed conveyor means.
- 10. (Amended) A tree harvesting apparatus according to <u>claim 1</u> any one of <u>claims</u> 1-9 wherein said transport means includes a first pair of spaced apart horizontally disposed camber rotating auger rollers located at an end of the transport means nearest said chipper between which said cut tree is gripped and advanced toward said chipper.
- 12. (Amended) A tree harvesting apparatus according to <u>claim 10 elaims 10 or 11</u> wherein the transport means includes a second pair of spaced apart rollers inclined upwardly from a lower end distant said the chipping means for gripping a trunk of the cut tree and advancing the cut tree to said chipping means.
- 13. (Amended) A tree harvesting apparatus according to <u>claim 1</u> any one of claims 1—12 further including a pair of wheels located in advance of said transport means between which a crown of a tree passes prior to the tree being cut by the rotary saw, said wheels biased and pivotally mounted to work around and at least partially compress the crown of the tree.
- 14. (Amended) A tree harvesting apparatus according to <u>claim 1</u> any one of claims 1—13-further including a pivot arm pivotally coupled about an axis of rotation of said chipping drum, said anvil being carried by said pivot arm whereby, pivoting of said pivot arm about said axis varies said angle of incidence.

09/936280

WO 00/52998

5

10

25

8 R 13

JC03 Rec'd PCT/PTO 1 0 SEP 2001

TREE HARVESTING APPARATUS

FIELD OF THE INVENTION

The present invention relates to a tree harvesting apparatus particularly suited for mounting on a vehicle to enable continuous tree harvesting.

BACKGROUND TO THE INVENTION

The present invention was initially developed to attempt to provide a cost effective way for harvesting the leaves of a mallee tree for the production of mallee oil. Manual harvesting of the leaves is possible however this is seen as not economically viable. The Applicant therefore set out to develop an apparatus or machine that, in operation, could form part of a larger process culminating in the separation of the mallee leaves from the mallee tree.

- 15 It is known to harvest small trees in Europe, particularly Sweden, using a modified cane harvester made by an Australian company and modified forage harvesters made by a German company. The trees harvested are about 3 metres tall and being deciduous and cut in Winter, have no leaves.
- However, the range of mallee forms is difficult to handle with existing harvesters.

The cane harvester blocks up readily and the cutting mechanism is under the machine instead of out the front. It is designed to push the cane over before cutting and the cutting elements are five bladed discs with a very aggressive chopping action.

The Claas forage harvester cuts and chips out the front, but is has a lightweight agricultural chipper, it lays the trees down in front of the saws after cutting, is prone to dropping cut stems and suffers blockages with bushy forms.

In parts of Australia, mallees are harvested with flail cutters (a mower style, but heavily built) but the mallees are short and flexible so the harvester can pass over them before cutting without dislodging the stumps. As the mallees are small it is not possible to travel

10

15

20

30

-2-

fast enough to harvest a practical amount per hour and harvest costs per tonne are high.

BRIEF SUMMARY OF THE INVENTION

According to the present invention there is provided a tree harvesting apparatus adapted for mounting on a vehicle to effect continuous tree harvesting, said apparatus including:

a rotary saw for cutting a tree near ground level;

transport means adjacent said saw for gripping a tree cut by the saw and transporting the tree to and dropping said cut tree in a chipping means located at an end of the transport means distant the rotary saw for chipping the tree, said chipping means being provided with a rotary chipping drum and a controllably moveable anvil adjacent said chipping drum for directing a cut tree entering said chipping means onto said chipping drum, the anvil being moveable to vary the angle of incidence of the tree onto the chipping drum:

whereby, in use, when said tree harvesting apparatus is mounted on the vehicle and said vehicle driven along a row of trees, said apparatus can continuously cut and chip said trees.

If the above apparatus is used in relation to Mallee trees, the chipped trees can then be passed through a winnowing machine to separate the leaves from the chipped wood. Oil can then be extracted from the leaves using processes that do not form part of this invention.

Preferably said saw is rotated in a direction to urge said cut tree into said transport means.

25 Preferably the transport means transports said cut tree in a substantially upright orientation along and inclined path to said chipping means.

Preferably the inclined path extends to one side of the vehicle to which said apparatus is mounted so that said cut trees do not substantially block the line of sight of a driver of the vehicle.

Preferably said rotary saw is a rotary circular saw and is disposed in an inclined plane so



that a leading edge of the saw is near ground level and below a trailing edge of the saw.

Preferably said rotary circular saw has a dished or convexly curved bottom surface for reducing possible contact area between the bottom surface of the saw and the ground.

5

Preferably said transport means includes first and second opposed conveyor means each provided with laterally extending fingers so that a cut tree is gripped by the fingers of the opposed conveyor means.

10

Preferably said first conveyor means comprises a first endless loop chain from which a plurality of said fingers extend, and said second conveyor means comprises second and third endless chains from each of which a plurality of said fingers extend, said second and third endless chains vertically spaced from each other and wherein the first endless chain

is located vertically intermediate said second and third endless chains.

15

Preferably said second conveyor means is coupled to a floating frame that allows said second conveyor means to move relative to said first conveyor means.

20

Preferably said floating frame is arranged to allow said second conveyor means to fish tail.

Preferably said floating frame is further arranged to allow lateral sliding motion of said second conveyor means relative to said first conveyor means.

25

Preferably said apparatus further includes height adjusting means for adjusting the height of said saw above the preferred level.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

An embodiment of the present invention will now be described by way of example only with reference to the accompanying figures in which:

30

- 4 -

		·
	Figure 1	is a copy of a photograph of an embodiment of the tree harvesting
		apparatus mounted on a tractor;
	Figure 2	is a schematic representation from the side of a rotary circular saw
5	. •	incorporated in the apparatus shown in Figure 1;
	Figure 3	is a top view of the saw shown in Figure 2;
	Figure 4	is a schematic representation in plan view of an elevator incorporated
10		in the apparatus shown in Figure 1;
	Figure 5	is a side view of the elevator shown in Figure 4;
	,	
15	Figure 6	is a schematic representation in plan view of a supporting frame for the elevator shown in Figures 4 and 5;
		and one tallot shown in Figures 4 and 5,
	Figures 7, 8 & 9	illustrate various configurations of the frame shown in Figure 6 when
		the apparatus is in use;
20	Figure 10	is a partial schematic representation of a chipper incorporated in the
		apparatus when in a first configuration;
	Figure 11	is a partial schematic representation of the chipper in a second
25	•	configuration;
	Figure 12	is a side view of the elevator shown in Figure 5 with a finger wheel;
	Figure 13	is a plan view of the elevator shown in Figure 4 with finger wheels; and
30		
	Figure 14	is a plan view of the elevator shown in Figure 4 with auger rollers.

10

15

20

25

30

Referring to Figure 1, a tree harvesting apparatus 10 in accordance with an embodiment of this invention is shown mounted on the front of a vehicle in the form of tractor 12. The tree harvesting apparatus 10 includes a rotary circular saw 14 for cutting a tree near ground level, a transport means 16 adjacent the saw 14 for gripping a tree cut by the saw 14 and transporting the tree to, and dropping the cut tree in, a chipping means in the form of a chipper 18 located at an end of the transport means 16 distant the rotary saw 14. The tree harvesting apparatus 10 mounted on the tractor 12 can be driven through a row of trees to effect continuous tree harvesting in which the saw 14 initially cuts the tree near ground level, the transport means 16 transports the cut tree to, and drops it in, the chipper 18 which chips the trees. Essentially the whole of the tree is chipped including branches and leaves. The chipper 18 has a chute 20 for ejecting the chips into a collection bag or bin towed or otherwise carried by the tractor 12. When the apparatus 10 is used in relation to mallee trees, the leaves can be separated from the chipped wood of the tree by conventional means such as winnowing. From there, oil can be extracted from the leaves using known processes that do not form part of this invention.

Referring to Figures 2 and 3, it can be seen that the rotary saw 14 is a circular type saw having a plurality of teeth 22 releasable attached about the periphery of a rotary disk 24. The teeth 22 are conventional replaceable teeth. The disk 24 is attached to a shaft 26 supported about its upper and lower ends by bearings 28. A pulley 30 (refer to Figure 3) is fixed to the top of the shaft 26 and is coupled by a belt 32 to a second pulley 34 which in turn is fixed to a hydraulic motor 36. The hydraulic motor 36 is plumbed into the hydraulic system of the tractor 12. The saw 14 and hydraulic motor 36 are supported on a sub-frame 38 that in turn is mounted on the tractor 12. The saw 14 and hydraulic motor 36 are supported on a sub-frame that in turn is mounted on the tractor 12. A guard 39 is provided over the disk 24 to ensure that the cut stem/trunk of the tree does not sit or bear on the disk 24. This reduces friction on the disk 24 thereby reducing power requirements.

As is most apparent from Figure 2, the saw 14, and in particular the saw disk 24, is disposed in an inclined plane so that a leading (cutting) edge 40 is nearest ground level and below trailing edge 42 of the saw 14. Additionally, the bottom of the circular saw 14, and more specifically the disk 24 has a dished or convexly curved bottom of the surface

-6-

44. The inclining of the saw 14 and shaping of the bottom of the surface 44 is provided to reduce possible contact area between the bottom of the surface 44 and the ground. The reasons for this is to minimise the wear of the saw 14. The saw is rotated in a direction (in this embodiment anticlockwise) to urge the cut tree to the transporter 16.

5

The transport means (referred to in general as "the transporter") 16 includes first and second opposed conveyor means 46, 48 (see Figure 4), each provided with laterally extending fingers 50 so that a cut tree can be gripped by the fingers 50 of the opposed conveyors 46, 48 and subsequently transported therebetween to the chipper 18.

10

The first conveyor 46 is in the form of an endless loop chain 52 from which a plurality of the fingers 50 extend. The chain 52 travels around a substantially triangular path. The triangular path is formed by two idler sprockets 54 and 56 and a drive sprocket 58 that provides the drive to cause the chain 52 to travel about the triangular path.

15

20

The second conveyor 48 comprises second and third endless loop chains 60 and 62 from each of which a plurality of the fingers 50 extend. The second and third endless chains 60 and 62 are vertically spaced from each other with the first chain 52 being located vertically intermediate the chains 60 and 62 as is clearly shown in Figure 5. Both the chains 60 and 62 travel in triangular paths. The path of the chain 60 is described by idler sprockets 64 and 66 and drive sprocket 68. The triangular path of chain 62 is described by idler sprockets 70 and 72 and drive sprocket 74. Each of the triangular paths of chains 52, 60 and 62 are in inclined planes.

25

30

The drive sprockets 68 and 74 of the chains 60 and 62 are geared together in a 1 to 1 ratio by a transmission 76. Chain 52 is driven in an anticlockwise direction and chains 60 and 62 are driven in a clockwise direction so that the run 76 of chain 52, and adjacent runs 78 and 80 of chains 60 and 62 respectively are all moving in the direction from the saw 14 to the chipper 18. The region between the runs 76, 78 and 80 defines an inclined path 82 up along which a cut tree is transported prior to dropping into the chipper 18. A skid pan or base 84 is formed underneath the path 82 to support a base of the cut tree. The shortest run 86 of chain 52 extending between idler rollers 54 and 56 converges with the shortest

PCT/AU00/00171

run 88 of chain 80 extending between idler rollers 70 and 72, and the shortest run 90 of chain 78 extending between idler rollers 64 and 66 to form a throat leading into the inclined path 82.

- The first conveyor 46 is mounted on a frame 90 (refer to Figures 6-9) that in turn, is mounted to the tractor 12 via sub-frame 38. The frame 90 also supports the base 84 on which the base of a cut tree can be supported while being transported up the transporter 16.
- The second conveyor 48 is mounted on a floating frame 92 that in turn is supported by the 10 tractor 12 via sub-frame 38. The floating frame 92 is able to fishtail those shown in Figures 7 and 8 and also able to slide laterally as depicted in Figure 9. To facilitate this movement, the frame 92 is coupled by a variety of links to a support beam 94 that is fixed to the sub-frame 38. A bell crank 96 is pivotally coupled at each end of the support beam 15 94. Adjacent arms of the respective bell cranks 96 are coupled by a spring 98. The other arm of each bell crank 96 is coupled by respective first and second arms 100 and 102 to the frame 92. The arm 100 is pivotally connected at one end to the corresponding bell crank 96 and pivotally connected at its opposite end to the adjacent arm 102. The opposite end of arm 102 is fixed to the frame 92. Between each bell crank 96, there is a further link between the frame 92 and the support beam 94 provided by arms 104, 106 and 108. The 20 arm 104 extends perpendicularly from bar 94 toward the frame 92 and is fixed at its end adjacent the beam 94. The opposite end of arm 104 is permanently coupled to arm 106. The opposite end of arm 106 is permanently coupled to one end of arm 108. The opposite end of arm 108 is fixed to the frame 92 and extends perpendicularly therefrom.

25

By virtue of this coupling, the frame 92 can fishtail relative to the frame 90 as depicted in Figures 7 and 8. Also, as depicted in Figure 9, the frame 92 can slide laterally off frame 90. This motion allows the floating frame 92 to move around a tree as it is transported along inclining path 92 toward the chipper 18.

30

The chipper 18 is in the form of a drum chipper (refer to Figures 10 and 11) having a conventional rotating chipping drum 110 provided with a cutting blade 112. The drum

10

15

20

25

30

-8-

of counter rotating feed rollers 116 and 118 are provided to one side of the drum 110. A tree cut by the saw 14 and transported by the transporter 16 is dumped into the chipper 18 so that it initially passes between the counter rotating feed rollers 116 and 118 which in turn push the tree against the from 110. The drum 110, and rollers 116 and 118 are of essentially conventional construction and form. However, the chipper 18 differs from conventional known chippers by the inclusion of a moveable anvil 120. Movement of the anvil 120 controls the angle of incidence of the cut tree to the drum 110. This variation in angle may be from close to square on (shown in Figure 10) where a tree extends almost radially from the drum 110 to near tangential (shown in Figure 11).

The anvil 120 is fixed to a pivot arm 122 that can be rotated about the rotational axis of the drum 110. A pair of telescopically related plates 124 and 126 extend from the anvil 120 to a point 128 located between rollers 116 and 118 but closest to roller 118. Plate 126 is pivoted at point 128 and plate 124 is pivoted adjacent the anvil 120. This allows the plates 124 and 126 to telescope toward and away from each other as the arm 122 is pivoted in the anti-clockwise and clockwise directions respectively. The plates 124 and 126 form a guide and support for the cut tree as it is being chipped by the chipping drum 110. When pivot arm 122 is pivoted in the clockwise direction, the plates 124 and 126 can be telescoped away from each other to the maximum extent as shown in Figure 11, so that they run approximately tangentially to drum 110 and roller 118. The angle of the pivot arm 122 and thus the position of the anvil 120 can be varied by use of a hydraulic or pneumatic ram or an electric screw jack, or any other conventional means. The ability to move the position of the anvil 120 and thus adjust angle of incidence of the tree to the chipping drum 110 enables the apparatus 10 to be used for a large variety of trees. This is because different trees have different physical characteristics which dictate the optimum instant angle for chipping. In particular reference to mallee trees, the provision of the adjustable chipper 18 enables highly efficient harvesting over the full range of mallee trees as it allows separation of all leaves from the twigs whilst minimising leaf damage and producing the largest possible wood chips. These requirements work against each other. Severe chipping breaks all leaves from the twigs but also causes more leaf damage (resulting in evaporation of oil from the leaves); produces a high proportion of very small

15

20

-9-

wood chips (which are difficult to separate from the leaves and are not desirable for other uses); and consumes more power from the harvester and increases chipper maintenance costs.

5 A typical operating cycle of the apparatus 10 will now be described.

With the apparatus 10 mounted on a tractor 12, the tractor 12 is driven along or through a row of tree (not shown). As the apparatus 10 approaches the first tree, the saw 14 cuts the trunk off the tree near ground level. It is preferred that the cut be made as close as ground level as possible but not so close so that there is contact between saw 14 and the ground. Referring to Figure 1, the saw 14 is rotated in the anti-clockwise direction so as to effectively direct the cut tree in between the first and second conveyors 46 and 48 of the transporter 16. The tree is gripped between the fingers 50 that extend from the endless chains 52, 60 and 62 and directed up the inclined path 82. The bottom of the cut tree may slide along the base 84. The tree is transported in a substantially upright or vertical orientation. When it reaches the end of the inclined path 82, it is dropped into the chipper 18. The cut end of the tree is gripped by and between the rollers 116 and 118 and forced onto the drum 110. The angle of incidence of the tree onto the drum 110 can be adjusted by pivoting the arm 122 in a clockwise or anti-clockwise to raise or lower the anvil 120. The tree is then wholly chipped with the chips ejected from the chute 20 into a container (not show). It is envisaged that while one tree is being chipped by the chipper 18 another is being cut by the saw 14. In this way, the tractor 12 can be driven at a continuous pace through a line of trees to sequentially and continuously cut and chip the trees.

- A second embodiment of the apparatus 10A with improved tree handling characteristics is depicted in part in Figures 12 14. The tree harvesting apparatus 10 depicted in Figures 1 11 forms the basis of the apparatus 10A and like numbers are used to denote similar features in the apparatus 10A.
- The apparatus 10A differs from apparatus 10 by the inclusion of a further endless loop chain 130 in the first conveyor 46; the addition of spaced apart finger wheels 132A and 132B, upper auger rollers 134A and 134B and lower auger rollers 136A and 136B.

10

15

20

25

The endless loop chain 130 travels in a path defined by an idler roller 138 and a driven roller 140. The roller 140 is provided with a drive via a transmission 142 coupled with the drive sprocket 58. The chain 130 is in a plane parallel to and above the chains 52 (chain 60 is on the left hand side of the elevator). Fingers 50 extend laterally from the chain 130 for gripping a tree passing through the transporter 16.

The finger wheels 132A and 132B are located on opposite sides of the path 82. Each of the finger wheels is supported on a separate horizontal arm 144 that is able to pivot in a horizontal plane. The arms 144 are biased to direct the wheels 132A, 132B toward each other. The wheels 132A and 132B are also provided with radially extending fingers 146 for engaging the crown of a tree passing through the transporter 16. The wheels 132A and 132B counter-rotate and together with the bias applied to the arms 144 the wheels 132A and 132B work around the crown of a tree and actively push the tree into the transporter 16 as the saw 14 cuts the tree at the base.

The auger rollers 134A, 134B, 136A and 136B from part of the transporter 16 for transporting trees to the chipper 18. The purpose of the auger rollers is to compress the trees together into the chipper feed hopper to form a continuous stream of feed into the chipper feed rollers. The auger rollers are fixed in position above the chipper 18. Each pair counter-rotate so that they pull the trees back into a chipper feed hopper 146 and simultaneously throw the trees down toward the chipper 18.

The top pair of auger rollers 134A and 134B are disposed in a horizontal plane and act about the crown of the tree. As shown most clearly in Figure 14, the rollers 134A and 134B are divergent so that the spacing between them increases as the tree is pulled further along. Thus a point is reached which the tree is able to pass between the rollers 134A and 134B.

The bottom rollers 136A and 136B are inclined at the same angle as the conveyors 46 and 48 and pull the butt ends of cut trees out from between the conveyors 46 and 48. The speed of the augers 134, 136 is set so that their flights "travel" from front to rear at

10

15

20

- 11 -

approximately the same speed as the chains 52, 60, 62 and 130.

Now that an embodiment of the invention has been described in detail it will be apparent to those skilled in the relevant arts that numerous modifications and variations may be made without departing from the basic inventive concepts. For example, the first conveyor 26 can be provided with multiple endless chains (similar to the conveyor 48). Alternatively, the first conveyor 46 can be provided with two endless chains and the second conveyor 48 provided with the single endless chain. Also, although the transporter 16 is shown as configured so as to elevate the cut tree along the inclined path 82, it is possible for the transporter to transport the cut tree without causing its elevation. However, it is preferred to elevate the tree to ensure that it does not engage the ground or any shrubs as it is being transported and also to reduce the overall size of the apparatus 10. Also, the apparatus 10 may be provided with means for allowing adjustment of the height of the saw 14 above the ground. This can be either a manual system such as a screw jack or an automatic system using for example, optical level sensors or strain gauges to provide a feedback signal of the distance between the saw 14 and ground level to subsequently control hydraulic rams for raising and lowering the sub-frame 38 and thus the saw 14. Additionally, the chipping drum 110 can be provided with more than a single cutting blade, with one to four blades being typical. Finally, while the preferred embodiment is described in relation to the harvesting of mallee trees, it may be used for harvesting other types of trees and bushes.

All such modifications and variations are deemed to be within the scope of the present invention, the nature of which is to be determined from the above description.



10

15

20

- 12 -

CLAIMS

1. A tree harvesting apparatus adapted for mounting on a vehicle to effect continuous tree harvesting, said apparatus including:

a rotary saw for cutting a tree near ground level;

transport means adjacent said saw for gripping a tree cut by the saw and transporting the tree to and dropping said cut tree in a chipping means located at an end of the transport means distant the rotary saw for chipping the tree, said chipping means being provided with a rotary chipping drum and a controllably moveable anvil adjacent said chipping drum for directing a cut tree entering said chipping means onto said chipping drum, the anvil being moveable to vary the angle of incidence of the tree onto the chipping drum;

whereby, in use, when said tree harvesting apparatus is mounted on the vehicle and said vehicle driven along a row of trees, said apparatus can continuously cut and chip said trees.

- 2. A tree harvesting apparatus according to claim 1 wherein transport means transports said cut tree in a substantially upright orientation along and inclined path to said chipping means.
- 3. A tree harvesting apparatus according to claim 2 wherein said rotary saw is a rotary circular saw and is disposed in an inclined plane so that a leading edge of the saw is near ground level and below a trailing edge of the saw.
- 4. A tree harvesting apparatus according to claim 3 wherein said rotary circular saw has a dished or convexly curved bottom surface for reducing possible contact area between the bottom surface of the saw and the ground.
- 5. A tree harvesting apparatus according to any one of claims 1-4 wherein said transport means includes first and second opposed conveyor means each provided with laterally extending fingers so that a cut tree is gripped by the fingers of the opposed conveyor means.

6. A tree harvesting apparatus according to claim 5 wherein said first conveyor means comprises a first endless loop chain from which a plurality of said fingers extend, and said second conveyor means comprises second and third endless chains from each of which a plurality of said fingers extend, said second and third endless chains vertically spaced from each other and wherein the first endless chain is located vertically intermediate said second and third endless chains.

5

15

- 7. A tree harvesting apparatus according to claim 6 wherein said second conveyor means is coupled to a floating frame that allows said second conveyor means to move relative to said first conveyor means.
 - 8. A tree harvesting apparatus according to claim 7 wherein said floating frame is arranged to allow said second conveyor means to fish tail.
 - 9. A tree harvesting apparatus according to claim 8 wherein said floating frame is further arranged to allow lateral sliding motion of said second conveyor means relative to said first conveyor means.
- 20 10. A tree harvesting apparatus according to any one of claims 1-9 wherein said transport means includes a first pair of spaced apart horizontally disposed camber rotating auger rollers located at an end of the transport means nearest said chipper between which said cut tree is gripped and advanced toward said chipper.
- 25 11. A tree harvesting apparatus according to claim 10 wherein said first pair of auger rollers are divergent to allow a tree to fall therebetween after the tree has been advanced by said auger rollers.
- 12. A tree harvesting apparatus according to claims 10 or 11 wherein the transport means includes a second pair of spaced apart rollers inclined upwardly from a lower end distant said the chipping means for gripping a trunk of the cut tree and advancing the cut tree to said chipping means.

13. A tree harvesting apparatus according to any one of claims 1-12 further including a pair of wheels located in advance of said transport means between which a crown of a tree passes prior to the tree being cut by the rotary saw, said wheels biased and pivotally mounted to work around and at least partially compress the crown of the tree.

5

10

15

- 14. A tree harvesting apparatus according to any one of claims 1 13 further including a pivot arm pivotally coupled about an axis of rotation of said chipping drum, said anvil being carried by said pivot arm whereby, pivoting of said pivot arm about said axis varies said angle of incidence.
- 15. A tree harvesting apparatus according to claim 14 further including a pair of telescopically related plates, a remote end of a distant one of said plates pivoted to a fixed point and an adjacent end of a proximal one of said plates pivotally coupled to said pivot arm adjacent said anvil.



WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:				
A010	G 23/08,	23/093,	B27L	11/00

(11) International Publication Number: A1

WO 00/52998

(43) International Publication Date: 14 September 2000 (14.09.00)

PT, SE).

(21) International Application Number:

PCT/AU00/00171

ΑU

(22) International Filing Date:

10 March 2000 (10.03.00)

(30) Priority Data:

PP 9126

10 March 1999 (10.03.99)

Published

With international search report.

(81) Designated States: AU, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,

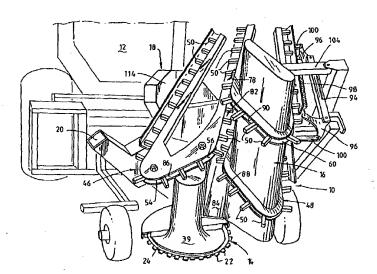
(71) Applicant (for all designated States except US): OIL MALLEE COMPANY OF AUSTRALIA PTY. LTD. [AU/AU]; 1/277 Great Eastern Highway, Belmont, W.A. 6104 (AU).

(72) Inventors; and

(75) Inventors/Applicants (for US only): GILES, Richard, Courthope [AU/AU]; River Road, Dwellingup, W.A. 6213 (AU). PEDERICK, Harley, Lawson [AU/AU]; 35 Vesper Street, Wagin, W.A. 6315 (AU).

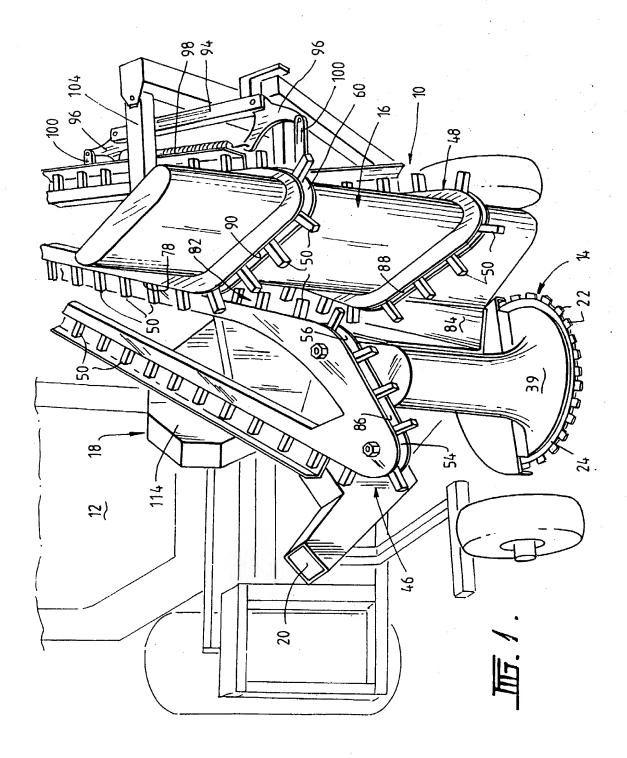
(74) Agent: MIZZI, Anthony, P.; Griffith Hack, 6th floor, 256 Adelaide Terrace, Pert, W.A. 6000 (AU).

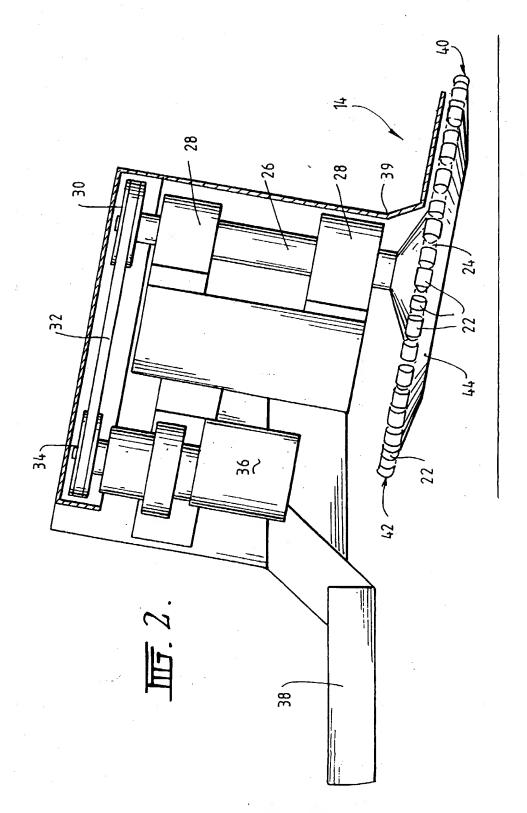
(54) Title: TREE HARVESTING APPARATUS



(57) Abstract

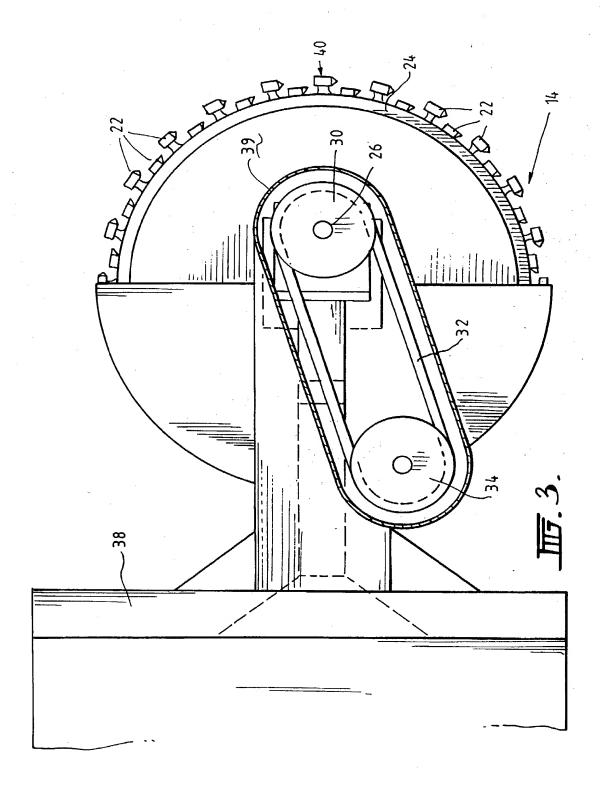
Tree harvester (10) is mounted on tractor (12) to enable continuously cutting and chipping of trees. The harvester (10) includes a rotary saw (14) for cutting a tree near ground level, transport means (16) adjacent the rotary saw (14) for gripping a tree cut by the rotary saw (14) and transporting the tree to and dropping the cut tree in a chipper (18) located at an end of the transport means (16) distant the rotary saw (14). The transport means (16) includes first and second opposed conveyors (46, 48) each provided with laterally extending fingers (50) so that a cut tree can be gripped by the fingers (50) of the opposed conveyors (46, 48) and subsequently transported therebetween to the chipper (18).

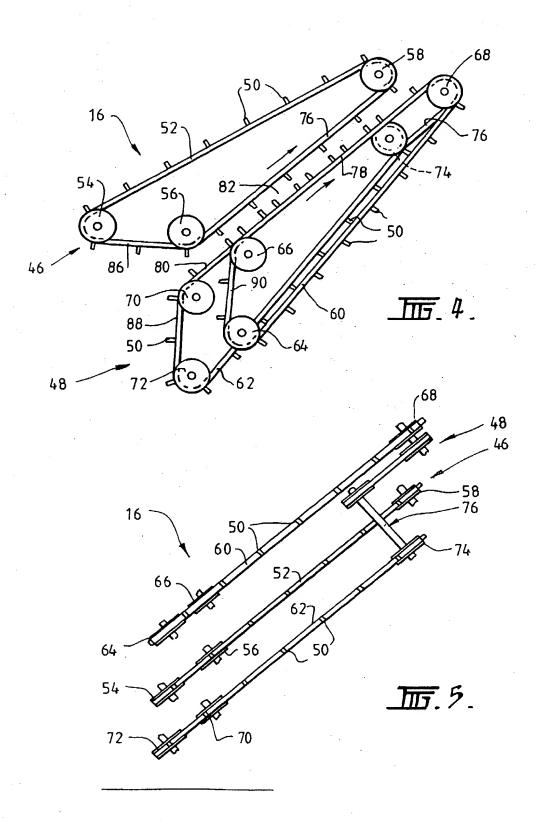




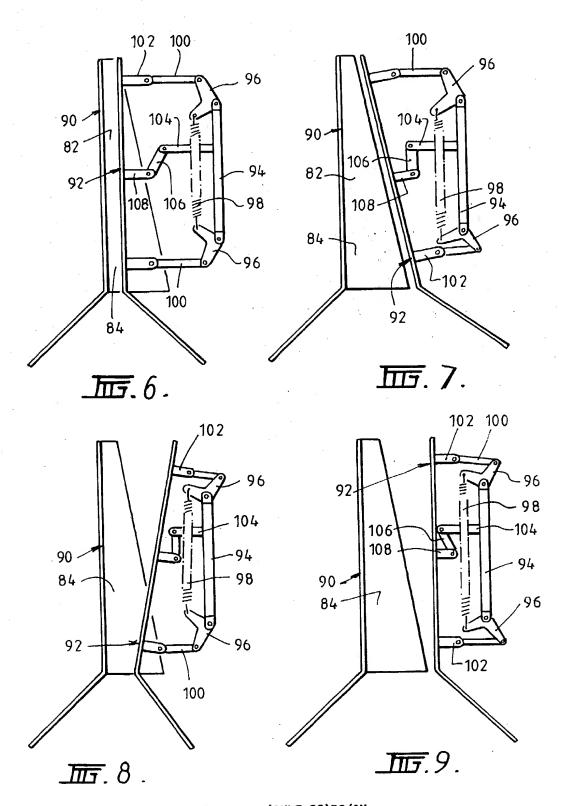
SUBSTITUTE SHEET (RULE 26)RO/AU

3 / 8



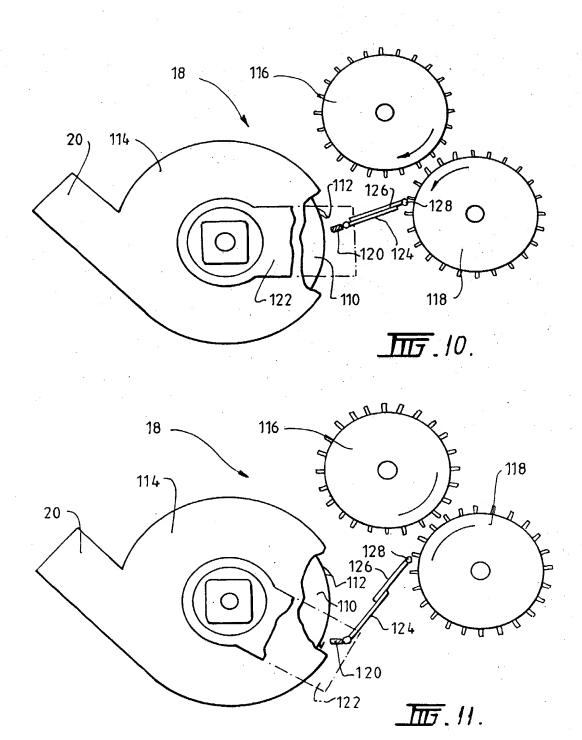


SUBSTITUTE SHEET (RULE 26)RO/AU

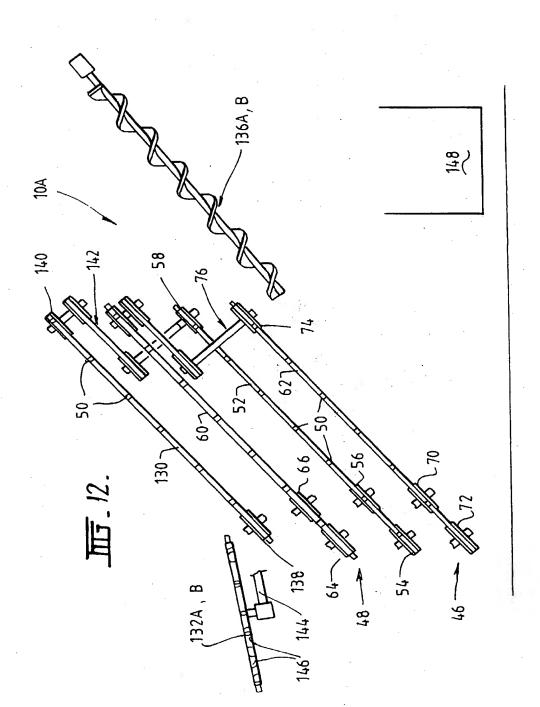


SUBSTITUTE SHEET (RULE 26)RO/AU

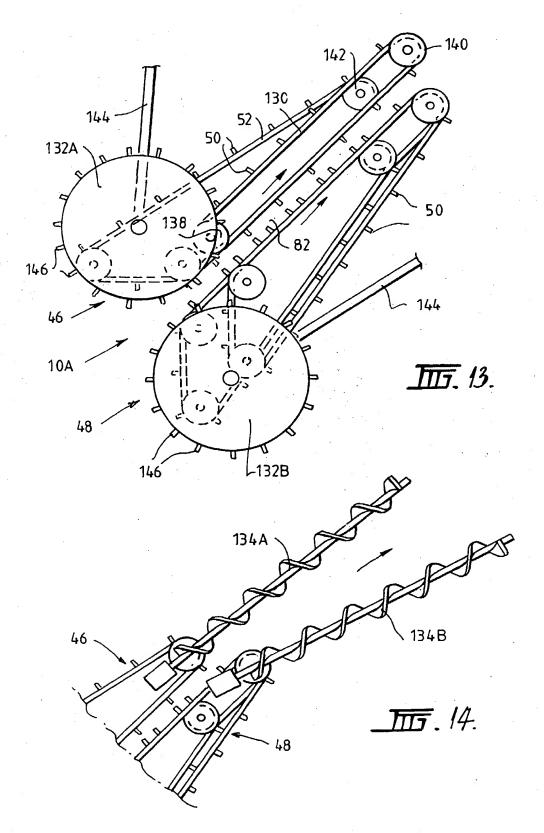
6 / 8



7 / 8



8 / 8



SUBSTITUTE SHEET (RULE 26)RO/AU

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is for a national stage of PCT application.

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and joint inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

Tree Harvesting Apparatus

SPECIFICATION IDENTIFICATION

The specification was filed on September 10, 2001, as International Application Number PCT/AU00/00171.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56, and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent.

PRIORITY CLAIM (35 U.S.C. Section 119(a)-(d))

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(Declaration and Power of Attorney--page 1 of 9)

Such applications have been filed as follows.

PRIOR PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. SECTION 119(a)-(d)

INDICATE IF PCT	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 U.S.C. SECTION 119
РСТ	PCT/AU00/00171	10 March 2000	yes

ALL FOREIGN APPLICATION(S), *IF ANY*, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

PCT/AU00/00171, filed March 10, 2000 PP9126 AU, filed March 10, 1999 SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Timothy M. Murphy 617-443-9292

Timothy M. Murphy 125 Summer Street

Boston, MA 02110-1618

US

Customer Number 002101

Richard Courthope Giles

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

,	Inventor s signature			
	Date 8 /0 /2002		Country of Citizenship	ΑU
	Residence Dwellingup AU	AUX		
	Post Office Address River Ro	ad, Dwellingup	WA 6213 AU	
₄)		110	ρ	
200	Harley Lawson Pederick	SINO	Ranch	
1	Inventor's signature	<i> -4 </i>	Country of Citizenship	A T 1
	Date 10-2-02	. 13 .	Country of Citizenship	AU
	Residence Wagin AU A	UX		
	Post Office Address 35 Vespe	er Street, Wagir	ı WA 6315 AU	

Ml belo

POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

APPOINTED PRACTITIONER(S)	REGISTRATION NUMBER(S)
Yang Xu	45,243
Bruce D. Sunstein	27,234
Robert M. Asher	30,445
Timothy M. Murphy	33,198
Steven G. Saunders	36,265
Harriet M. Strimpel	37,008
Karen A. Buchanan	37,790
Samuel J. Petuchowski	37,910
Jeffrey T. Klayman	39,250
John J. Stickevers	39,387
Elizabeth P. Morano	42,904
Jean M. Tibbetts	43,193
Jay Sandvos	43,900
Sonia K. Guterman	44,729
Keith J. Wood	45,265
Alton Hornsby, III	47,299
Alexander J. Smolenski	47,953
John L. Conway	48,241

I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.